

### Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

#### Data for every month ending

Sr. No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending at the end of the month**	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		NA
2	SEBI (SCORES)	0	0	0	0	0		NA
3	Stock Exchanges	0	0	0	0	0		NA
4	Other Sources (if any)	0	0	0	0	0		NA
5	Grand Total	0	0	0	0	0		NA

#### Trend of monthly disposal of complaints

Sr. No	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April -2025	0	0	0	0
2	May-2025	0	0	0	0
3	June - 2025	0	0	0	0
4	July - 2025	0	0	0	0
5	August - 2025	0	0	0	0
	Grand Total	0	0	0	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

#### Trend of annual disposal of complaints

Sr. No	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2024-25	0	0	0	0
2	2025-26				
	Grand Total	0	0	0	0